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In re Patent Application of: **GROUP 3600**

Joseph G. GATTO

Serial No.: 09/893,460

Filed: June 29, 2001

For: SECURITY ANALYST ESTIMATES  
PERFORMANCE VIEWING SYSTEM AND  
METHOD

Docket No.: 23449-013

Confirmation No.: 7177

Group Art Unit: 3624

Examiner: Kelly Scaggs Campen

**INFORMATION DISCLOSURE STATEMENT (IDS)**Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby makes of record in the above-identified application the documents listed on the attached Form PTO-1449.

Applicant has listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated. Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing data and any foreign priority date so that the month of publication is not an issue.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

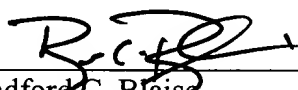
This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No certification or fee is required. 37 C.F.R. 1.97(b).

An electronic Information Disclosure Statement is being filed electronically on even date.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449.

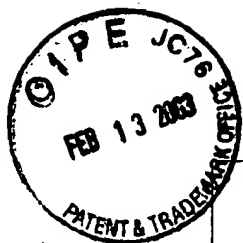
Respectfully submitted,

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Dated: February 13, 2003

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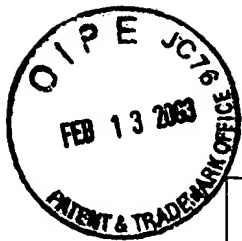
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	1J	<a href="http://interactive.wsj.com/public/current/articles/SB963944657420928118.htm">http://interactive.wsj.com/public/current/articles/SB963944657420928118.htm</a> (1/5/01)
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**FOREIGN PATENT DOCUMENTS**

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						YES	NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

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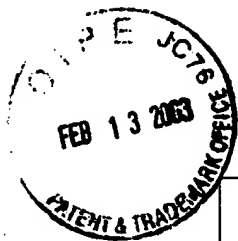
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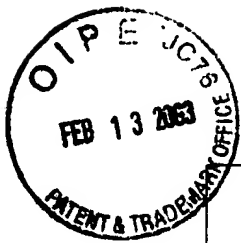
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6A	Charles M. C. LEE <i>et al.</i> , "Price Momentum and Trading Volume", <u>The Journal of Finance</u> , Vol. LV, No. 5, October 2000, pages 2017-2069.
6B	Charles M. C. LEE <i>et al.</i> , "Valuing the Dow: A Bottom-Up Approach", <u>Financial Analysts Journal</u> , Vol. 55, No. 5, September/October 1999, pages 4-23.
6C	Charles M. C. LEE, "Earnings News and Small Traders", <u>Journal of Accounting and Economics</u> , Vol. 15, No. 2/3, June/September 1992, pages 265-302.
6D	Terry D. WARFIELD <i>et al.</i> , "Response to the FASB Exposure Draft, "Proposed Statement of Financial Accounting Standards—Accounting for Transfers and Servicing of Financial Assets and Extinguishment of Liabilities", <u>Accounting Horizons</u> , Vol. 10, No. 3, September 1996, pages 178-181.
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6K	<a href="http://my.zacks.com/?ALERT=www.zacks.com">http://my.zacks.com/?ALERT=www.zacks.com</a> , printed February 2, 2001, 6 pages.
6L	<a href="http://www.cianet.com/">http://www.cianet.com/</a> , printed February 5, 2001, 14 pages.
6M	<a href="http://www.factset.com/">http://www.factset.com/</a> , printed February 5, 2001, 10 pages.
6N	<a href="http://www.iexchange.com/">http://www.iexchange.com/</a> , printed February 5, 2001, 50 pages.
6O	<a href="http://www.validea.com/home/home.asp">http://www.validea.com/home/home.asp</a> , printed February 5, 2001, 26 pages.
6P	<a href="http://www.bulldogresearch.com/default.asp">http://www.bulldogresearch.com/default.asp</a> , printed February 5, 2001, 28 pages.

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